



#3

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 Modified (10-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)  <input type="checkbox"/> Declaration Submitted with Initial Filing      OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	PA1671US
	<b>First Named Inventor</b>	Clifford L. Hersh
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	09/729,515
	<b>Filing Date</b>	December 4, 2000
	<b>Group Art Unit</b>	unknown
	<b>Examiner Name</b>	unknown

As a below named legal representative, I hereby declare on information and belief that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe that Herbert W. Sullivan was the first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method and System for Enhanced Concurrency in a Computing Environment

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 12/04/2000

as United States Application Number or PCT International

Application Number 09/729,515 and was amended on (MM/DD/YYYY) 02/05/2001

(if applicable).

Herbert W. Sullivan reviewed and understood the contents of the originally filed specification, including the claims.

Herbert W. Sullivan acknowledged the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

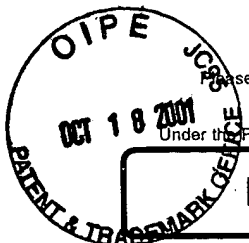
On behalf of Herbert W. Sullivan I hereby claim foreign priority benefits under Title 35 of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
			<input type="checkbox"/>	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

On behalf of Herbert W. Sullivan I hereby claim the benefit under 35 U.S.C. 119(e) of United States provisional application

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/168,861	12/02/1999	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.



Please type a plus sign (+) inside this box → ☒

PTO/SB/01 (10-00)  
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

Direct all correspondence to:

☒ Customer Number  
or Bar Code Label

OR ☐

Correspondence address below

Name

Address



22830

PATENT TRADEMARK OFFICE

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR'S LEGAL REPRESENTATIVE: ☐ (Representing Herbert W. Sullivan)

Given Name Joan

(first and middle [if any])

Family Name Todd  
or Surname

Representative's  
Signature

*Joan Todd*

Date

2/14/001

Residence: City

New York

State N.Y.

Country U.S.

Citizenship U.S.

Mailing Address

205 WEST END AVENUE APT. # 22E

Mailing Address

City

NEW YORK

State

N.Y.

ZIP

10023

Country

U.S.

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

Family Name  
or Surname

Inventor's  
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

Mailing Address

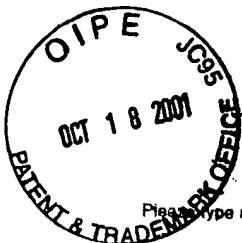
City

State

ZIP

Country

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



Please type a plus sign (+) inside this box → ☐

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	PA1671US
First Named Inventor	Clifford L. Hersh
<b>COMPLETE IF KNOWN</b>	
Application Number	09/729,515
Filing Date	December 4, 2000
Group Art Unit	unknown
Examiner Name	unknown

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method and System for Enhanced Concurrency in a Computing Environment

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 12/04/2000

as United States Application Number or PCT International

Application Number 09/729,515 and was amended on (MM/DD/YYYY) 02/05/2001 (If applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/168,861	12/02/1999

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.



Please type a plus sign (+) inside this box → ☐

## DECLARATION — Utility or Design Patent Application

Direct all correspondence to:



Customer Number  
or Bar Code Label

OR ☐

Correspondence address below

Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:



A petition has been filed for this unsigned inventor

Given Name Clifford L.

(first and middle (if any))

Family Name Hersh  
or Surname

Inventor's  
Signature

*Clifford Hersh*

Date

10/10/001

Residence: City Berkeley

State CA

Country USA

Citizenship USA

Mailing Address 2631 Piedmont Ave

Mailing Address

City Berkeley

State CA

ZIP 94704

Country USA

NAME OF SECOND INVENTOR:



A petition has been filed for this unsigned inventor

Given Name Herbert W.

(first and middle (if any))

Family Name Sullivan  
or Surname

Inventor's  
Signature Signature of Joan Todd (representative of Herbert Sullivan), along with her address and citizenship appear on page 2 of Declaration

Date

n/a

Residence: City New York

State NY

Country USA

Citizenship USA

Mailing Address 205 West End Avenue

Mailing Address Apt. 22E

City New York

State New York

ZIP 10023

Country USA

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.